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36257 7590 06/02/2009  
 DAVIS WRIGHT TREMAINE LLP - San Francisco  
 505 MONTGOMERY STREET  
 SUITE 800  
 SAN FRANCISCO, CA 94111

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Submitted Via EFS-Web	(Depositor's name)
	(Signature)
	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/553,078	06/23/2006	Per Thor Straten	0088562-001US0	9104

TITLE OF INVENTION: THERAPEUTIC CANCER VACCINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0.	\$1055	09/02/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
YAEN, CHRISTOPHER H	1643	424-185100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/17; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 \_\_\_\_\_  
 2 Davis Wright Tremaine LLP  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Kraeftens Bekaempelse

Denmark

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 04-0258 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Karen Lai

Date August 25, 2009

Typed or printed name Karen Lai

Registration No. 60,920

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JULY 30, 2008

PTAS

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WACKER DRIVE STATION, SEARS TOWER  
CHICAGO, IL 60606UNITED STATES PATENT AND TRADEMARK OFFICE  
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MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/29/2008

REEL/FRAME: 021310/0286

NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE APPLICATION NUMBER.  
ORIGINAL COVERSHEET LISTED APPLICATION NUMBER AS "10/533078".  
CORRECT APPLICATION NUMBER IS "10/553078" PREVIOUSLY RECORDED ON  
REEL 020883 FRAME 0860. ASSIGNOR(S) HEREBY CONFIRMS THE  
ASSIGNMENT.

DOCKET NUMBER: 40000243-0001-002

ASSIGNOR:  
STRATEN, PER THOR

DOC DATE: 08/05/2003

ASSIGNOR:  
ANDERSEN, MADS HALD

DOC DATE: 08/05/2003

ASSIGNEE:  
KRAEFTENS BEKAEMPELSE  
STRANDBOULEVARDEN 49  
COPENHAGEN, DENMARK DK-2100

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7/31/2008 8:22:45 PM PAGE 2/005 Fax Server

TO: SONNENSCHN NATH & ROSENTHAL LLP COMPANY: POST OFFICE BOX 061080

021310/0286 PAGE 2

SERIAL NUMBER: 10553078

FILING DATE: 06/23/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: THERAPEUTIC CANCER VACCINE

LAZENA MARTIN, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

P734 US00

Attorney Docket No. \_\_\_\_\_  
Joint Invention  
(U.S. Rights only)

## ASSIGNMENT

WHEREAS we, the below named inventors, (hereinafter referred to as Assignors) have made an invention entitled:

"Therapeutic Cancer Vaccine"

and

WHEREAS, Kræftens Bekæmpelse a Danish corporation, registered under the laws of the Kingdom of Denmark, and whose business address is: Strandboulevarden 49, DK-2100 Copenhagen, Denmark; (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patents of the United States which may be granted thereon, and all reissues thereof, including an assignment of all rights under the provision of international conventions; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patents for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

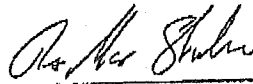
AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention to assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys BROWDY and NEIMARK, 624 9<sup>th</sup> Street NorthWest, Suite 300, Washington DC 20001-5303, U.S.A., to Insert here in parentheses (Application No. \_\_\_\_\_ filed on \_\_\_\_\_), the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, We have hereunto set our hands:

1. Full Name of First Assignor:

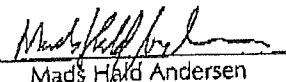
Per Thor Straten, a citizen of Denmark, residing at Catherine Booths Vej 17, DK-2650 Hvidovre, Denmark

Signature:   
Per Thor Straten

Date: 8/5 - 2003

2. Full Name of Second Assignor:

Mads Hald Andersen, a citizen of Denmark, residing at Esthersvej 27, st.tv., DK-2900 Hellerup, Denmark

Signature:   
Mads Hald Andersen

Date: 8/5-03

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JULY 30, 2008

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RECORDATION DATE: 07/29/2008

REEL/FRAME: 021310/0270

NUMBER OF PAGES: 7

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE APPLICATION NUMBER.  
ORIGINAL COVERSHEET LISTED APPLICATION NUMBER AS "10/533078".  
CORRECT APPLICATION NUMBER IS "10/553078" PREVIOUSLY RECORDED ON  
REEL 020883 FRAME 0846. ASSIGNOR(S) HEREBY CONFIRMS THE  
ASSIGNMENT..

DOCKET NUMBER: 40000243-0001-002

## ASSIGNOR:

BEKAEMPELSE, KRAEFTENS

DOC DATE: 09/20/2005

## ASSIGNEE:

SURVAC APS  
ETHERSVEJ 27, ST. TV.  
2900 HELLERUP, DENMARK

SERIAL NUMBER: 10553078

FILING DATE: 06/23/2006

PATENT NUMBER:

ISSUE DATE:

TITLE: THERAPEUTIC CANCER VACCINE

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021310/0270 PAGE 2

SHARON LATIMER, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

P734PC00

### ASSIGNMENT

We, the assignors

Kræftens Bekæmpelse  
Strandboulevarden 49  
2100 Copenhagen Ø  
Denmark

hereby declare that we assign all rights concerning PCT-application

No. PCT/DK2004/000259

including the right to apply for and obtain national/regional patents based on this  
International patent application,

Title: "Therapeutic Cancer Vaccine"

to the assignee:

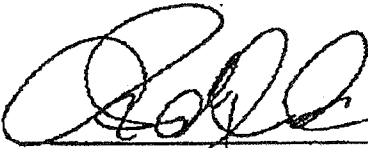
Survac ApS  
Estfiersvej 27, st. tv.  
2900 Hellerup  
Denmark

Survac ApS will hereinafter be fully liable for any costs and obligations concerning  
this patent application.

Place and date:

Signature:

Copenhagen, September 20, 2005

  
Kræftens Bekæmpelse



P 734DK00

## OVERDRAGELSE

VI

Kræftens Bekæmpelse  
Strandboulevarden 49  
DK-2100 København Ø  
Danmark

erklærer herved, at vi overdrager alle rettigheder, herunder retten til at kræve prioritet,  
vedrørende  
dansk patentansøgning nr. PA 2003 00572, indleveret den 11. april 2003

Med titlen: "Therapeutic Cancer Vaccine"

til

Survac ApS  
Esthersvej 27, st. tv.  
2900 Hellerup  
Danmark

Survac ApS påtager sig herefter alle omkostninger og forpligtelser relateret til nævnte  
patentansøgning.

Sted og dato:

København, den 20. september 2005

Underskrift:

  
Kræftens Bekæmpelse